



## Review

## Hazard and mode of action of disinfection by-products (DBPs) in water for human consumption: Evidences and research priorities

Raquel S. Chaves<sup>a,b,c,\*</sup>, Catarina S. Guerreiro<sup>d</sup>, Vítor V. Cardoso<sup>b</sup>, Maria J. Benoliel<sup>b</sup>, Miguel M. Santos<sup>c,e</sup>

<sup>a</sup> Institute of Environmental Health, Faculty of Medicine, University of Lisbon, Lisbon, Portugal

<sup>b</sup> EPAL- Empresa Portuguesa das Águas Livres, S.A., Direção Laboratórios e de Controlo da Qualidade da Água, Lisbon, Portugal

<sup>c</sup> CIMAR/CIIMAR, LA- Interdisciplinary Centre of Marine and Environmental Research, Group of Endocrine Disruptors and Emerging Contaminants, University of Porto, Matosinhos, Portugal

<sup>d</sup> Laboratory of Nutrition, Faculty of Medicine, University of Lisbon, Lisbon, Portugal

<sup>e</sup> FCUP - Department of Biology, Faculty of Sciences, University of Porto, Porto, Portugal

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## ABSTRACT

Disinfection of water system is an essential strategy to protect human health from pathogens and prevent their regrowth during water distribution, but the reaction of disinfectant agents with organic matter can lead to the formation of disinfection by-products (DBPs). Given their widespread occurrence, potential human health impacts and (eco)toxicity associated with exposure to DBPs are of particular interest due to their potential carcinogenicity and vary non-carcinogenic effects, such as endocrine disruption. Understanding the public health implications of this emerging issue is crucial for societies and decision-makers, supporting more effective water safety plans. Here, we review the recent literature on the effects of DBPs presented in drinking water and treated swimming pools water, focusing particularly in unregulated compounds and the putative underlying mode of action, linking the available data with adverse health outcomes. Overall, the majority of studies highlight the limited knowledge in the understanding of the underlying mode of action of DBPs. Yet, available evidences indicate that different signaling pathways seem to be involved in the adverse outcomes associated with distinct DBPs classes. The main knowledge gaps in this field are also identified, and future research priorities discussed.

## 1. Introduction

Water treatment plant (WTP) is a crucial tool to promote safe and clean drinking water. However, during this complex treatment process the raw water can react with chemical agents forming disinfection by-products (DBPs). These chemical reactions have been mainly reported in two potential steps of water treatment, coagulation and disinfection. However, pre-oxidation technologies using oxidants such as sodium hypochlorite or ozone are also potential factors affecting DBPs formation (Li et al., 2017; Zheng et al., 2017).

The nature and quantity of DBPs formed depends on the physico-chemical properties of the raw water. The WTP operational conditions parameters are particularly important, including disinfectant dose, temperature, pH, contact time and coagulant agent used in the process. Environmental conditions, such as climate, and the specific characteristics of the distribution system are also relevant parameters (Plewa et al., 2017; Richardson, 2011; Richardson et al., 2015).

Humans are mostly exposed to water DBPs through direct ingestion of water, via inhalation and dermal absorption while showering, bathing and swimming in treated pools (Li et al., 2017; Richardson and Postigo, 2011).

In this work, we review the recent literature on the effects of DBPs present in drinking water and treated swimming pools, focusing in particular in unregulated compounds and the putative underlying mode of action, linking the available data with adverse health outcomes. The main knowledge gaps in this field are also identified, and future research priorities discussed.

## 1.1. Forcing agents of water DBPs formation

The main precursors of DBPs present in raw water can include natural organic compounds, such as humic and fulvic acids, and anthropogenic contaminants of emerging concern including endocrine disrupting chemicals (EDCs), non-steroidal anti-inflammatory drugs

\* Corresponding author at: Institute of Environmental Health, Faculty of Medicine, University of Lisbon, Lisbon, Portugal.

E-mail address: [rchaves@ciimar.up.pt](mailto:rchaves@ciimar.up.pt) (R.S. Chaves).

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(NSAIDs), pharmaceutical and personal care products (PPCPs), pesticides and herbicides, cyanotoxins, textile dyes, hormones, surfactants and UV-filters (Postigo et al., 2017; Richardson et al., 2015). In recent years, special attention has been given to this emerging contaminants due to their widespread and daily use, reactivity as DBPs precursors and poorly characterized biological effects (Park et al., 2016; Postigo et al., 2017; Richardson et al., 2015).

Raw waters with lower aromatic natural organic matter content have been associated with the preferential way to generate iodinated DBPs (I-DBPs) such as I- THMs and I- HAAs (Dong et al., 2017; Nihemaiti et al., 2016). High levels of bromide in raw water is associated with the formation of bromate; source water with high algal or wastewater content (rich in nitrogen) has been associated with N- DBPs (Komaki and Plewa, 2017). However, the formation scenarios and many reaction pathways are still unclear due to the poorly characterized nature of precursors.

Popular disinfectants of drinking water include chlorine, chloramines, ozone, chlorine dioxide and ultraviolet light (UV) (Richardson et al., 2015). Chlorine is the most ubiquitous disinfectant used worldwide, applied alone or in combination with other disinfectants. The most common approach involves the use of chlorine-based disinfectants in combination with ozone in order to reduce the volume of some chlorine-based DBPs formed, such as THMs and HAAs. However, this combination results in the production of potential more reactive DBPs such as aldehydes, ketones, keto-aldehydes, carboxylic acids, keto-acids, alcohols, among others. Disinfection with chloramines is usually associated with the formation of nitrogenated by-products such as nitrosamines (N-DBPs) (Kristiana et al., 2012). Even UV, considered as a clean technology, has the potential to produce aldehydes (Nikolaou et al., 1999; Richardson et al., 2015).

In addition to the nature of disinfectant agent, several physico-chemical parameters are also important drivers for the formation of DBPs. The most relevant are pH and temperature, i.e., higher temperatures increases the amount of disinfectant used and the DBPs formed (Richardson et al., 2015). Basic pH promotes the formation of THMs but leads to the decrease of HAAs, haloacetamines and halo ketones levels. In contrast, acidic pH increase the HAAs formation (Cortés and Marcos, 2018; Singer, 1995).

DBPs are also formed in disinfected swimming pools through reaction of the same chlorine-based disinfectants with precursors as urine, sweat, hair, sunscreens, lotions and personal care products (Yang et al., 2018). Chlorination is the most commonly used disinfection treatment in swimming pools. The higher formation of DBPs in swimming pools compared with drinking water is mainly associated with the high water temperature involved and the water recirculation. According to recent studies, the water of outdoor pools contained on average two times more DBPs, such as THMs (97,9 µg/L vs 67,7 µg/L) and HAAs (807,6 µg/L vs 412,9 µg/L) compared with indoor pools, during the same seasonal period (Cardador and Gallego, 2011; Simard et al., 2018). For outdoor pools, it is possible that the presence of higher levels of precursors requires the use of higher amounts of disinfectant and consequently higher levels of DBPs formed. Higher concentrations of DBPs such as total THMs (130 µg/L range vs 80 µg/L in average) were found in heated (26 °C) compared with non-heated (23 °C) outdoor pools (Simard et al., 2018). One of the most common DBPs in chlorinated swimming pools is trichloramine formed by reaction of nitrogenous compounds and chlorine (Villanueva and Cordier, 2015).

Coagulation is a commonly used process in water treatment to remove natural organic matter suspended in raw water. Recent studies suggested the importance of this treatment step in the production of some DBPs, namely N-DBPs, through the addition of coagulants such as chitosan or cationic polymers such as polyacrylamide (PAM) and polydiallyldimethyl ammonium chloride (polyDADMAC) (Li et al., 2017; Nawrocki and Andrzejewski, 2011; Richardson and Postigo, 2011). The reaction mechanism of N-nitrosodimethylamine (N-DBP) formation is unclear but one possibility involves the amine group of

PAM that can work as a precursor (Li et al., 2017).

Due to the complex processes of DBPs formation, their high diversity and complexity, this analysis is challenging (Table 1). However, understanding the public health implication of this issue is crucial for improving human health risk assessment and implementing effective water safety plans.

## 1.2. Water DBPs: legal context

Over the past twenty years, more than 600 DBPs have been identified in water but just 11, including THMs, HAAs, bromate and chlorite are currently regulated by USEPA under the stage I and II Disinfectants and DBPs Rules (USEPA, 2016b) (EPA, 2016). Still, it is estimated that over 50% of the halogenated material formed during chlorination are unknown and their toxicological risk poorly characterized. Importantly, several of these unregulated DBPs have been shown to be more genotoxic and cytotoxic than some regulated compounds. Unregulated and emerging DBPs includes different classes of compounds such as halo-methanes, iodo-trihalomethanes, nitrosamines, halobenzoquinones among others (Table 1) (Plewa et al., 2017; Richardson et al., 2015).

On the EU legal context, the Drinking Water Directive 98/83/CE sets a maximum water concentration of 100 µg/L for most prevalent THMs (THM4 - sum of chloroform BDCM, DBCM and bromoform) (The Council of the European Union, 1998). In comparison with the regulation in United States, the maximum level for THMs in drinking water is set at 80 µg/L. Bromate are regulated in both the EU and US with the limit of 10 µg/L, chloride is regulated with the limit of 250 mg/L in EU and chlorite with 700 µg/L in US. In addition, only 5HAAs (sum of monochloro-, dichloro-, trichloro, monobromo-, and dibromoacetic acids) and chlorate are regulated in US with the limites of 60 µg/L and 700 µg/L, respectively. Regarding the unregulated and emerging families DBPs, World Health Organization (WHO) included compounds such as N-nitrosodimethylamine (NDMA) (0,1 µg/L), dichloroacetonitrile (20 µg/L) and dibromoacetonitrile (70 µg/L) in the drinking water guidelines, reinforcing their potential toxicological effect to humans (WHO, 2017).

Considering disinfectants for swimming pools water (SPW), only THMs have been regulated in some European countries (Table 1). Based on DIN (national standards body for Germany) 19,643, the maximum contaminant level (MCL) of THMs, in Germany, is set at 20 µg/L. In fact, Germany is the country with the lowest parametric value comparing with other European countries such as Finland, where the MCL for THMs is 100 µg/L (WHO, 2000; Yang et al., 2018).

Given the limit information on the subject, it is crucial to improve risk assessment to support more effective regulatory limits and ensure the human health protection.

## 2. Exposure assessment and risk characterization of water DBPs

Given that DBPs are (semi-) volatile and skin permeable, the exposure pathways are numerous and include ingestion of water, inhalation and skin absorption while showering, bathing, swimming in treated pools, and hand dish-washing (Grellier et al., 2015; Richardson et al., 2015).

Toxicological studies, using cell cultures and animals, suggested potential genotoxicity, cytotoxicity, carcinogenicity, teratogenicity and endocrine disruption associated with the chronic exposure to DBPs, depending of the origin of water, disinfection procedure and disinfectant nature (Cortés and Marcos, 2018; Dong et al., 2017; Hanigan et al., 2017; Richardson et al., 2007) (Table 2). Neurotoxicity was also reported as a potential outcome based on bioassays. However only a few studies are available and therefore more knowledge is required (Guariglia Jr et al., 2011; Moser et al., 2004).

Epidemiological studies involving exposure to DBPs reported cancer and non-cancer outcomes, i.e., bladder, lung and colon cancer, birth defects, asthma and skin rashes. These outcomes were associated with

**Table 1**

Main classes of DBPs, average concentrations range and European legal context.

Modified from (Cortés and Marcos, 2018)

| Main classes of DBPs.                                                                                                                                                                                                                                                                                       | Average concentration range (µg/L) | Regulated (EU) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|----------------|
| <b>HALONITROMETHANES</b>                                                                                                                                                                                                                                                                                    | **                                 |                |
| Chloronitromethane, dichloronitromethane, trichloronitromethane (chloropicrin), bromonitromethane, dibromonitromethane, tribromonitromethane (bromopicrin), bromochloronitromethane, bromodichloronitromethane, dibromochloronitromethane                                                                   |                                    |                |
| <b>HALOACETIC ACIDS AND OTHER HALOACIDS</b>                                                                                                                                                                                                                                                                 | *****                              |                |
| Chloroacetic acid, Dichloroacetic acid, Trichloroacetic acid, Bromoacetic acid, Dibromoacetic acid, Tribromoacetic acid, Iodoacetic acid, Diiodoacetic acid, Triiodoacetic acid, Bromochloroacetic acid, Bromodichloroacetic acid, Bromoiodoacetic acid, Dibromochloroacetic acid, Chlorodibromoacetic acid |                                    |                |
| <b>TRihalOMETHANES</b>                                                                                                                                                                                                                                                                                      | *****                              | DW; TSP        |
| <b>Chloroform, bromoform, dibromochloromethane, bromodichloromethane</b> , dichloroiodomethane, bromochloroiodomethane, dibromoiodomethane, chlorodiiodomethane, bromodiiodomethane, iodoform, dichloromethane, bromochloromethane, chlorodibromomethane, dibromomethane                                    |                                    |                |
| <b>OXYHALIDES</b>                                                                                                                                                                                                                                                                                           |                                    | DW             |
| <b>Bromate</b> (0.2–25.1), chlorate (up to 190), chlorite (up to 1100)                                                                                                                                                                                                                                      |                                    |                |
| <b>HALOFURANONES</b>                                                                                                                                                                                                                                                                                        | *                                  |                |
| mx, red-mx, ox-mx, emx, zmx, mucochloric acid, bmx-1, bmx-2, bmx-3, bemx-1, bemx-2, bemx-3                                                                                                                                                                                                                  |                                    |                |
| <b>HALOACETONITRILES</b>                                                                                                                                                                                                                                                                                    | ****                               |                |
| chloroacetonitrile, dichloroacetonitrile, trichloroacetonitrile, bromoacetonitrile, dibromoacetonitrile, tribromoacetonitrile, bromochloroacetonitrile, bromodichloroacetonitrile, dibromochloroacetonitrile, iodoacetonitrile                                                                              |                                    |                |
| <b>HALOKETONES</b>                                                                                                                                                                                                                                                                                          | ***                                |                |
| Chloroacetones                                                                                                                                                                                                                                                                                              |                                    |                |
| <b>HALOAMIDES</b>                                                                                                                                                                                                                                                                                           |                                    |                |
| chloroacetamide, dichloroacetamide, trichloroacetamide, bromoacetamide, dibromoacetamide, tribromoacetamide, bromochloroacetamide, bromoiodoacetamide, bromodichloroacetamide, dibromochloroacetamide, iodoacetamide, diiodoacetamide, chloroiodoacetamide                                                  |                                    |                |
| <b>HALOAMINES &amp; OTHER AMINES</b>                                                                                                                                                                                                                                                                        | *****                              |                |
| chloramines, nitrosamines (N-nitrosodimethylamine, N-nitrosodiphenylamine; N-nitrosopyrrolidine; N-nitrosomorpholidine, N-nitrosopiperidine), heterocyclic amines                                                                                                                                           |                                    |                |
| <b>ALDEHYDES</b>                                                                                                                                                                                                                                                                                            | ****                               |                |
| formaldehyde, acetaldehyde, haloaldehydes, chloroacetaldehyde, haloacetaldehydes, dichloroacetaldehyde, bromochloroacetaldehyde, trichloroacetaldehyde (chloral hydrate), tribromoacetaldehyde                                                                                                              |                                    |                |
| <b>OTHER DBPs: Chloride</b> , quinones (benzoquinones), cyanogen halides, chlorophenols, aldoketoacids, carboxylic acids, haloacetates, halopyrroles, among others.                                                                                                                                         |                                    | DW             |

Main groups and occurrence (µg/L) (average) \*0,08-0,8; \*\* 0.1–10; \*\*\*10–60; \*\*\*\* 0,4–300; \*\*\*\*\* 1–2500;\*\*\*\*\* 0,05–400 (Krasner et al., 2006; Richardson et al., 2007; Simard et al., 2018; Weinberg et al., 2002).

DW- Drinking Water; TSP- treated swimming pools. Individual regulated compounds are presented in bold.

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different contact routes such as respiratory, gastrointestinal tract and dermal contact. Most biological samples used in the former studies included blood, urine and exhaled breath (Allen et al., 2017; Cantor et al., 2010; Rahman et al., 2010; Tardiff et al., 2006; Villanueva and Cordier, 2015; Wright et al., 2017)

Vlaanderen et al. (2017) studied the association between the exposure to THMs (measured in exhaled breath) in swimming pools, and respiratory effects, such as asthma, using several biomarkers of immune response, i.e., interleukin-1ra, C-X-C motif chemokine 10, C-C motif chemokine 11, among others. The study suggested a positive association between short-term exposure to DBPs and the selected markers, namely cytokines and chemokines. Yet, a fully demonstration of the association between exposure to DBPs and this acute modification on immune response awaits further evidences as the potential influence of the physical activity in the quantification of immune markers in swimmers serum should be further clarified (Vlaanderen et al., 2017)

The most common adverse outcome reported in embryo development is cardiovascular defects (CVDs) with an association with a sum of 4 THMs (chloroform, BDCM, DBCM, and bromoform), THM4, although the association between the risk of CVDs and individual DBPs remains unclear (Richardson et al., 2007; Wright et al., 2017).

Considering cancer outcomes, positive association have been found for bladder cancer and THM4, HAAs and TCM (Table 2) (Grellier et al., 2015). It is important to highlight that some associations are not consistent across different epidemiological studies, given the complexity and diversity of this group of compounds. Some systematic reviews and meta-analysis dealing with cancers have been carried out but the

evidence of association between humans DBPs exposure and cancer was not consistent to infer whether such association are causal (Grellier et al., 2015).

The potential genotoxic effects of DBPs, derived from in vitro studies, have been recent reviewed by Cortés and Marcos, (2018). Considering bacterial and mammalian based cell tests, the authors concluded that the potential genotoxic action of DBPs could be ranked, from the highest to the lowest, as iodinated-brominated-chlorinated DBPs. Considering DNA damage after chronic exposure to different target families of DBPs the rank order suggested was (from the higher to the lower): haloacetic acids > haloacetamines > haloacetonitriles > halonitromethanes followed by haloacetaldehydes > nitrosamines and finally trihalomethanes. Considering cell growth inhibition after chronic exposure, the higher inhibition was as follow: haloacetamides > haloacetaldehydes > halonitromethanes > haloacetic acids > haloacetonitriles > trihalomethanes > nitrosamines (Cortés and Marcos, 2018).

These recent studies in emerging DBPs, including halonitromethanes, iodo-trihalomethanes, iodo-acids, haloamides, halofuranones, haloacetonitriles, haloacetaldehydes, nitrosamines and halo-benzoquinones, suggest they can display higher genotoxicity and cytotoxicity potential than those that are currently regulated such as trihalomethanes and haloacetic acids. Based on in vitro studies, iodoacetic acid (IAA) is one of the most genotoxic DBP identified, to date (Richardson et al., 2015, 2007; Wang et al., 2018).

In support of in vitro studies, several DBPs have been shown to be genotoxic, cytotoxic and carcinogenic in in vivo animal models, such as

zebrafish *Danio rerio* and *Daphnia magna* (Park et al., 2016; Teixidó et al., 2015; Zheng et al., 2017). According to the *in vivo* tests, families of DBPs such as acetaminates and acetic acids are more genotoxic than acetonitriles and nitrosamines. Based on mammalian development studies, exposure to DBPs led to retarded fetal development, spermatotoxicity, delayed sexual maturation, changes in reproductive organs/placenta and skeletal effects (Tardiff et al., 2006; Wagner and Plewa, 2017; Wright et al., 2017). Recently, an association between DBPs and disruption of androgen signaling pathway was reported (Holmes et al., 2017). Based on this study, regulated and unregulated DBPs such as haloalkoquinones, haloacetonitriles, haloacids and haloalofuranones are able to binding to the androgen receptor as antagonist and subsequently altering gene transcription. Thus, some of these chemicals may disrupt normal endocrine function, mimicking or antagonizing endogenous hormones. However, the interaction of DBPs and nuclear receptors mediated signaling pathway (signaling and metabolic modules) needs further investigation (Holmes et al., 2017).

One of the main uncertainties relates on how the IC50 values derived for individual DBPs can be used to estimate the risk of complex mixtures. Since DBPs are present in waters as a complex mixture, studies with single compounds may not capture their potential synergistic, dose additive and antagonistic activity (Grellier et al., 2015).

One of the major knowledge gaps is related with the limit information available for many DBPs classes given that the majority of studies only address regulated DBPs such as THM4 and HAAs and only a few works have addressed emerging and unregulated DBPs, such as trichloromethane (TCM), bromodichloromethane (BDCM) and dibromochloromethane (DBCM) from BrTHMs class (Grellier et al., 2015). In addition, for the majority of DBPs, the underlying mechanism of action (MoA) is unknown and so more research on this topic is needed including the screening of additional adverse health effects.

### 3. Metabolism and putative mode of action (MoA) of water DBPs

According to the limit toxicological studies available concerning exposure to DBPs, modulation of several signaling pathways such as CYP2E1 gene, glutathione s-transferases GSTZ1 and GSTT1 have been associated with different adverse outcomes, such as bladder and lung cancers (Cantor et al., 2010; Grellier et al., 2015; Villanueva and Cordier, 2015) (Landi et al., 2003) and diseases at birth (Zhou et al., 2018) (Table 3).

Lung epithelial cells, *in vitro* models, were exposed to THMs to assess DNA damaging ability, through changes in the activity of GSTT1 (a glutathione S-transferase). The results showed that BrTHMs (BDCM and TBM) were more rapidly metabolized through this GSTT1 route comparing with their corresponding non-brominated THMs (TCM and DCM). Nevertheless, additional polymorphisms of GSTT1 may influence the response of human cells to the genotoxic effects of the THMs. In consequence, further studies are needed to assess this potential carcinogenic risk of THMs exposure (Landi et al., 2003).

In another study, a positive association between long-term exposure to THMs and risk of bladder cancer in presence of GSTT1 and GSTZ1 polymorphisms was also shown. A genotype assays using cases with confirmed urothelial carcinoma, found a significant association between bladder cancer and GSTZ1 and GSTT1 polymorphisms, depending on THMs concentrations in drinking water. This is consistent with the hypothesis that these genes are involved in the adverse outcomes of THMs. However, more scientific evidence is required to support this association (Cantor et al., 2010; Villanueva and Cordier, 2015).

Recent studies conducted by Zhou et al. (2018) explored the association between CYP2E1 (gene rs2031920, rs3813867, and rs915906) and GSTZ1 (gene rs7975) polymorphisms and adverse birth outcomes, through the exposure to selected THMs, namely BrTHM, and trichloroacetic acid (TCAA). Multivariate regression models were used to assess interactions between prenatal exposure to DBPs and newborns CYP2E1

and GSTZ1 polymorphisms expression in cord blood. Birth outcomes such as birth weight, birth length and gestational age were assessed. THMs were quantified in maternal blood and TCAA in maternal urine, including 426 pregnant women in a cohort study. The data were not consistent and given the sample sizes, the ability to effectively assess the effect was limited. The only potential interaction verified indicates that newborns with genetic variation of CYP2E1 gene rs2031920 could be correlated with the impact of prenatal BrTHM (sum of BDCM, BDCM and TBM) exposure on birth weight. Additional scientific evidence are needed in this case, but genetic susceptibility could play an important role, mediating the impacts of maternal exposure to DBPs on the birth outcomes (Zhou et al., 2018).

Nitrosamines metabolism and their potential carcinogenesis have also been suggested in association with human CYP2 family (Hanigan et al., 2017; WHO, 2002). According with this pathway, NDMA is metabolized by the CYP2E1, producing secondary metabolites:  $\alpha$ -hydroxy-NDMA, subsequently metabolized in N-nitroso-methylamine and finally in Methyl diazonium ion. This final metabolite binds DNA forming DNA adducts causing cancer such as liver cancer, lung cancer and renal cancer (Godoy et al., 2002). However, for a correct definition of the MoA of NDMA and risk assessment all the exposure routes should be crossed. Concerning NDMA, human exposure may also include food, tobacco, among others (World Health Organization, 2004).

Other biomarkers have been screened such as the enzyme glyceraldehyde 3-phosphate dehydrogenase (GAPDH), that has been associated with exposure to DBPs and impairment, i.e., cytotoxicity, genotoxicity and neurotoxicity (Table 3). Similarly, changes on the nuclear enzyme Topoisomerase II has been associated with cellular mitosis and DNA stability (Komaki and Plewa, 2017; Pals et al., 2011).

Monohaloacetic acids (MonoHAAs) were linked with the generation of reactive oxygen species (ROS) due to the inhibition of GAPDH. This association may play an important role in neurodegenerative diseases such as Alzheimer's disease. The mechanism of action of MonoHAAs involves the DNA damage by alkylating the thiol group of the cysteine residue in the active site of GAPDH (Dad et al., 2013; Pals et al., 2011). Considering the monoHAAs DBPs family, the effects reported were higher in IAA followed by BAA and CAA. Despite the positive correlation, more studies are needed to clarify this MoA.

In addition, in the same acetic acids group of DBPs, it was recently proposed a putative interaction of IAA with catalase, also linked with the oxidative stress. According to Wang et al. (2018) the IAA binds the CAT through van der Waals and hydrogen bonding interactions in HIS 74 and TYR 357, that circles active sites. These interactions leads to changes in protein size and loss of protein skeletons (Wang et al., 2018).

Monohaloacetonitriles (monoHANs) were linked with the inhibition of decatenation activity of the enzyme topoisomerase II, in CHO cells, under acellular conditions. Topoisomerase is linked with cellular mitosis and DNA stability and replication. Komaki and Plewa, (2017) recently suggested that monoHANs leads the hyperploidy induction in cells, as a consequence of mitosis inhibition, by suppression of the topoisomerase decatenation activity. However, the model proposed was only partially supported (Table 3). Further investigations are needed to support the association between the hyperploidy verified with some monoHANs, namely chloroacetonitrile (CAN) and bromoacetonitrile (BAN), and tumorigenesis (Komaki and Plewa, 2017).

To evaluate oxidative damage, markers such as malondialdehyde (MDA) and 8-hydroxydeoxyguanosine (8-OHdG) were used (Du et al., 2013). Benzoquinones (HBQs) shown positive association with the production of intracellular ROS in T24 bladder cells though the measure of oxidative damage marker 8-OHdG. This association was concentration-dependent and suggested that DNA damage and protein carbonylation are involved in toxicity mechanism of this group of DBPs (Du et al., 2013).

Regarding endocrine disruption, nuclear receptors (NR) as androgen receptor (AR) was addressed (Holmes et al., 2017). In accordance, Haloalkoquinones and other DBPs classes such as haloacetonitriles,



**Table 2**  
Summary of recent studies focusing mainly on the toxicological effects of emerging and unregulated DBPs.

| DBP/Hazard agent                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Water Matrix                               | DBPs concentrations tested/assessment                                                                                                                   | Endpoints Health outcome                                                                      | Exposure assessment method/Model                                                                                                                                                               | Study reference                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| THM4; HAA5 and TCM                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Chlorinated water                          | PFs/SFs/RfDs exposure pathway dependent*                                                                                                                | Cancer for bladder, rectum, colon, others unspecified cancers; Birth defects and formaldehyde | Tap water and blood                                                                                                                                                                            | Grellier et al., 2015 (review) |
| THMs, HAA5, formaldehyde and acetaldehyde                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Chlorine and ozone                         | 0.2, 0.5, 1.0 and 2.0 mg Cl2 L-1/24 h                                                                                                                   | Ecotoxicity of THMs, HAA5, and formaldehyde                                                   | <i>Daphnia magna</i> Exposure                                                                                                                                                                  | Park et al., 2016              |
| DBP9; DBCM; BDCM; DCAA; TCAA; THM4; THMBr                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Chlorinated water                          | n.d                                                                                                                                                     | Birth defects (CVIDs)                                                                         | Case control - levels in tap water compared with birth data                                                                                                                                    | Wright et al., 2017            |
| BDCM;BAM; 3-BPN; DBAA; DCAN;DIAA; DCP; DCBQ; NDMA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Chemicals target                           | 0.1 nM to 2mM                                                                                                                                           | Endocrine activity; disruption of endocrine system                                            | ARBA - androgen receptor binding assay                                                                                                                                                         | Holmes et al., 2017            |
| THMs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Chlorinated pools                          | Medium increase after swimming: 0.7 to 2.3 for MET(Metabolic equivalents), and 1.4 to 7.1 µg/m <sup>3</sup> for exhaled total THM (sum of the four THM) | Gene expression and DNA methylation                                                           | Sampling blood; exhaled breath before and after swimming activity- blood gene expression                                                                                                       | Salas et al., 2017             |
| Halobaldehydes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Disinfected water using PFA-performic acid | 0.8 mg/L; 0.6 mg/L; 1.5 mg/L PFA dose                                                                                                                   | Chromosomal mutation and DNA damage                                                           | Bacterial, plant, and human cells. Ames test (point mutation in <i>Salmonella</i> ), the micronucleus (chromosomal damage) and Comet tests (primary DNA damage) on human hepatic cells (HepG2) | Ragazzo et al., 2017           |
| Halomethanes; THM4;chlorinated, brominated and iodinated Haloacids; Haloacetoneitriles: Haloaldehydes; Haloketones; Halonitromethanes; Haloamides                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Chlorinated water                          | LOQ (Limit of quantification) 0.1–2.0 µg/L                                                                                                              | Skin rashes                                                                                   | Bacterial, plant, and human cells. Ames test (point mutation in <i>Salmonella</i> ), the micronucleus (chromosomal damage) and Comet tests (primary DNA damage) on human hepatic cells (HepG2) | Allen et al., 2017             |
| Chloroacetamide; Bromoacetamide; Iodoacetamide; Chloroaceticacid; Bromoaceticacid; Iodoaceticacid; Chloroacetoneitrile; Dichloroacetoneitrile; Trichloroacetoneitrile; Bromoacetoneitrile; Dibromoacetoneitrile; Iodoacetoneitrile; N-Nitrosodimethylamine; N-Nitrosodiphenylamine; N-Nitrosomorpholine                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Chlorinated waste water                    | 1–500 µM, compound depending                                                                                                                            | Mortality and morphology responses/Zebrafish larval behavior                                  | Zebrafish embryos exposure                                                                                                                                                                     | Hanigan et al., 2017           |
| Trihalomethanes, Haloacetic acids, Haloacetaldehydes, Haloacetamides, Hydroxyfuranone, N-Nitrosamines, Halonitromethanes, N-Chloramines, Haloacetoneitriles, Halobenzoquinones, Iodoacids classes: TCM, TBM, TIM, BDCM, DBCM, CDIM, BCIIM, BDIM, DCIM, DBIM, CAA, BAA, IAA, DCAA, DBAA, DIAA, TCAA, TBAA, CDBAA, BCAA, BIAA, BDCAA, CAL, BAL, IAL, DCAL, DBAL, TCAL, TBAL, BCAL, DBCAL, BDCAL, CACAm, BACAm, IACAm, DCACAm, DBACAm, DIACAm, TCACAm, TBACAm, BCACAm, BDCACAm, BACAm, DBACAm, MX, MBA, MCA, NDMA, NDEA, NPPI, NPYR, NMOR, NDPPhA, NMEA, TCNM, BNM, Cl-Glycine, Cl-Histamine, Cl-Ethanolamine, Cl-Lysine, Cl-NaAcetyl lysine, CAN, BAN, IAN, DCAN, DBAN, TCAN, 2-CBQ, TricBQ, TetraCBQ, 2,5-DCBQ, 2,6-DCBQ, 2,5-DBBQ, 2,6-DBBQ, 2,3-DIBQ, Z3B3IPPA, Z3B3IPPA, E3B2IPPA, E3B2IPPA, E2I3MBDA | Chemical target                            | n.d                                                                                                                                                     | Genotoxicity: SCGE (single cell gel electrophoresis, comet assay) genetic endpoint            | Mammalian cells                                                                                                                                                                                | Corrés et al., 2018 (review)   |
| Z3B3IPPA, Z3B3IPPA, E3B2IPPA, E3B2IPPA, E2I3MBDA NDEA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Chlorinated water                          | 100, 150, 200, 250 and 300 mg/L                                                                                                                         | Mortality and morphology responses/Biochemical parameters                                     | TK6 cells; zebrafish                                                                                                                                                                           | Zheng et al., 2017             |

(continued on next page)



**Table 3**  
Summary of recent studies addressing the putative mode of action of regulated and unregulated DBPs.

| DBP/Hazard agent                                                                                                                                                                                                                       | Endpoints                                                                    | Molecular Ligand                                                               | Model                                 | Concentration of DBP/Treatment                                                                                                                            | Study reference                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| <b>Monohaloacetic acids: IAA</b>                                                                                                                                                                                                       | Oxidative stress/cytotoxicity                                                | Catalase (CAT, EC 1.11.1.6), HIS 74 and TYR 357                                | Cell lines assay - mouse hepatocytes  | (0, 1, 5, 10, 50, 100, 500, 1000, 2000, 400, 6000, 8000 mM) for 24 h                                                                                      | (Wang et al., 2018)                      |
| <b>Monoacetoneitriles: CAN and BAN</b>                                                                                                                                                                                                 | Hyperploidy induction/mitosis inhibition/disruption cell cycle (cell growth) | Topoisomerase II                                                               | CHO cells                             | 0.3, 0.5 and 0.8 µg (nuclear protein)                                                                                                                     | (Komaki and Plewa, 2017)                 |
| <b>halobenzoquinones, haloacetoneitriles, haloacids, and halofuranones:</b><br>(DCBO), (DBAA), (CSA), (TCP), (DBAN), (MCA), (TCBO), (4-NP), (MX), (NDMA), (2-CP), (BDCM), (TCAN), (BAM), (DCAN), (IAA), (3-BPN), (DIAA), (DBPN), (DCP) | Endocrine disruption                                                         | Androgen Receptor                                                              | ARBA, androgen receptor binding assay | 0.1 nM to 2 mM                                                                                                                                            | Holmes et al., 2017(Holmes et al., 2017) |
| <b>Halobenzoquinones (HBQs):</b> (DCBO), (DCMBO), (TCBO), and (DBBQ)                                                                                                                                                                   | Bladder cancer/oxidative stress                                              | 8-hydroxydeoxyguanosine (8-OHdG) and malondialdehyde (MDA) adducts of proteins | T24 bladder cancer cells              | 25, 50, 75, 100, 125, 150 µM; IC50 values are 95 µM for DCBO, 110 µM for DCMBO, 151 µM for TCBO, and 142 µM for DBBQ/24 h exposure                        | (Du et al., 2013)                        |
| <b>Monohaloacetic acids (monoHAAs):</b> IAA; BAA; CAA                                                                                                                                                                                  | Mitochondrial stress and genomic DNA damage                                  | Glyceraldehyde-3-phosphate dehydrogenase (GAPDH) - cytosolic enzyme.           | CHO cells                             | 25 mM IAA, 60 mM BAA or 6 mM CAA for 4 h (Du et al., n.d.); IAA (10–50 µM), BAA (50–150 µM) or CAA (1–10 mM) 10 to 60 min (Pals et al., 2011)             | (Du et al., n.d.); (Pals et al., 2011)   |
| <b>Trihalomethanes:</b> TCM;BDCM;DBCM, DCM and TBM                                                                                                                                                                                     | DNA damage                                                                   | GSTT1 activity                                                                 | Lung epithelial cells                 | 10, 100, and 1000 µM                                                                                                                                      | (Landi et al., 2003)                     |
| <b>Trihalomethanes and trichloroacetic acid:</b> BDCM, BDCM, and TBM (Br-THMs) and TCM; TCAA                                                                                                                                           | Birth outcomes                                                               | CYP2E1 (rs2031920, rs3813867, and rs915906) and GSTZ1 (rs7975) polymorphisms   | Blood, urine and cord blood           | Cohort study/The limit of detections (LOD) for blood BDCM, DBCM, TBM, and TCM were 0.45, 0.68, 2.00, and 1.95 ng/L/The LOD of TCAA in urine was 2.00 mg/L | (Zhou et al., 2018)                      |

Iodoacetic acid (IAA); chloroacetoneitrile (CAN); bromoacetoneitrile (BAN); 2,6-dichloro-1,4-benzoquinone, (DCBO), 2,6-dichloro-3-methyl-1,4-benzoquinone (DCMBOQ); 2,3,6-trichloro-1,4-benzoquinone (TCBOQ); 2,6-dibromobenzoquinone (DBBQ); 2,6-dichloro-1,4-benzoquinone (DCBOQ), dibromoacetic acid (DBAA), chlorosuccinic acid (CSA), 2,4,6- trichlorophenol (TCP), dibromoacetoneitrile (DBAN), mucochloric acid (MCA), 3,4,5,6 tetrachloro-1,2-benzoquinone (TCBO), 4-n-nonylphenol (4-NP), 3-chloro-4 (dichloromethyl)-5-hydroxy-2(5H)-furanone (MX), N-nitrosodimethylamine (NDMA), 2-chlorophenol (2-CP), bromodichloromethane (BDCM), 2-bromopropionitrile (3-BPN), diiodoacetic acid (DIAA), 2,3- dibromopropionitrile (DBPN), 2,3- dichloropropionamide (DCP), trichloroacetoneitrile (TCAN), 2- bromoacetamide (BAM), Dichloroacetoneitrile (DCAN); bromodichloromethane (BDCM), dibromochloromethane (DBCM), chloroform (TCM), dichloromethane (DCM) trichloroacetic acid (TCAA).

routes are still not sufficiently addressed particularly for unregulated DBPs; other possible exposure routes such as tobacco, food industry and occupational disinfected environments, should also be considered to improve risk evaluation; iv) treated drinking water shows a complex mixture of DBPs. It is essential to increase the knowledge on the toxicity of DBPs mixtures. Future research should focus in the development of sensitivity analytical methods to identify new DBPs and improve the accuracy of the dose-response relationship measurements; v) increase knowledge on toxicity ranks considering emerging classes of DBPs will help prioritizing which DBPs should go for more detailed studies; vi) further investigation is needed to address forcing agents, during WTP processes, i.e., how physicochemical parameters, such as temperature, pH, organic matter, pre-treatment and disinfectant agents and reactivity time, contribute to the formation of new DBPs, also including the reactions occurring during the distribution system, due to the residual disinfectant dose; vii) improve knowledge in filtration technologies after distribution system in order to minimized DBPs concentrations in consumption water; viii) conditions of lower rain-fall and high temperatures can contribute for increase formation of DBPs in drinking water due to the presence of more precursors (i.e., organic matter, toxins, etc.). This is particularly relevant considering the climate change scenarios which will hypothetically lead in some regions to alterations on the water cycle, temperature and extreme events. The importance of this association should be understood to improve the management of local water resources; ix) additional studies on treated swimming pools water are needed to improve exposure health assessment and effective parametric values.

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